Streamlined Annual PHA Plan (High Performer PHAs) U.S. Department of Housing and Urban Development Office of Public and Indian Housing U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 03/31/2024

Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, including changes to these policies, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

Applicability. The Form HUD-50075-HP is to be completed annually by **High Performing PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, HCV-Only PHA, Small PHA, or Qualified PHA <u>do not</u> need to submit this form.

Definitions.

- (1) High-Performer PHA A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers and was designated as a high performer on both the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments.
- (2) Small PHA A PHA that is not designated as PHAS or SEMAP troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceed 550.
- (3) Housing Choice Voucher (HCV) Only PHA A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment and does not own or manage public housing.
- (4) Standard PHA A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceed 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.
- (5) Troubled PHA A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.
- (6) Qualified PHA A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined and is not PHAS or SEMAP troubled

A.	PHA Information.							
A.1	PHA Name: Housing Authority of the City of Waterbury PHA Type: ☐ High Performer PHA Plan for Fiscal Year Beginning: (MM/YYYY): 07/2022 PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above) Number of Public Housing (PH) Units 735 Number of Housing Choice Vouchers (HCVs) 2742 Total Combined 3477 PHA Plan Submission Type: ☐ Annual Submission ☐ Revised Annual Submission Availability of Information. In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hea and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA policies contained in the standard Annual Plan but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or ceroffice of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide resident council a copy of their PHA Plans.			able to the public. the public hearing ublic may ulined office or central				
	Berkley Heights 156 Harris Circle Waterbury, CT 06704 Truman Apts	Bergin Apts 70 Lakewoo Waterbury, G	d Road	Oak Terrace A 31 Bliss St Waterbury, C	T 06708	Springbrool 167 Springb Waterbury,	rook Road	y Main Office
	1765 North Main St Waterbury, CT 06704	95 Kearny D Waterbury, (CT 06704	125 Fairmont Waterbury, C	T 06706	2 Lakewood Waterbury,		,
				•	Program(s)	not in the	No. of Units i	n Each Program
	Participating PHAs	PHA Code	Program(s) in t	the Consortia	Conso		PH	HCV
	Lead PHA:							

В.	Plan Elements						
B.1	Revision of Existing PHA Plan Elements. (a) Have the following PHA Plan elements been revised by the PHA since its last Annual PHA Plan submission? Y N Statement of Housing Needs and Strategy for Addressing Housing Needs. Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions. Financial Resources. Rent Determination. Homeownership Programs. Safety and Crime Prevention. Pet Policy. Substantial Deviation. Substantial Deviation. Substantial Deviation. Substantial Deviation. Substantial Deviation. Substantial deviations or significant amendments or modifications are defined as discretionary changes in the plans or policies of the Housing Authority that fundamentally change the mission, goals, objectives, or plan of the agency and which require formal approval of the Board of Commissioners.						
	•		describe the revisions for each elem n Policy for Field Office Review.	nent below:			
B.2	Y N	ighborhoods. nization or Devo- position. Idusing to Tena Idusing to Proje accancies for M pograms (i.e., Ca e planned for the n thereof, owne ct under the seg	et-Based Assistance.	ect-Based Vouchers under RAD rants or Emergency Safety and S activities. For new demolition ac as applied or will apply for dem val process. If using Project-Bas	Security Grants). ctivities, describe olition and/or dis ed Vouchers (PB	sposition approval BVs), provide the	
В.3	Progress Report. Provide a description of the PF SEE BELOW	IA's progress in	n meeting its Mission and Goals des	scribed in the PHA 5-Year Plan.			

B.4.	Capital Improvements. Include a reference here to the most recent HUD-approved 5-Year Action Plan in EPIC and the date that it was approved. See HUD Form 50075.2 approved by HUD on 06/08/2021
B.5	Most Recent Fiscal Year Audit. (a) Were there any findings in the most recent FY Audit? Y N □ ⊠ (b) If yes, please describe:
C.	Other Document and/or Certification Requirements.
C.1	Resident Advisory Board (RAB) Comments. (a) Did the RAB(s) have comments to the PHA Plan? Y N M M M M M M M M M
C.2	Certification by State or Local Officials. Form HUD-50077-SL, Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.3	Civil Rights Certification/Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan. Form 50077-ST-HCV-HP, PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.4	Challenged Elements. If any element of the PHA Plan is challenged, a PHA must include such information as an attachment with a description of any challenges to Plan elements, the source of the challenge, and the PHA's response to the public. (a) Did the public challenge any elements of the Plan? Y N S If yes, include Challenged Elements.

D.	Affirmatively Furthering Fair Housing (AFFH).				
D.1	Affirmatively Furthering Fair Housing. Provide a statement of the PHA's strategies and actions to achieve fair housing goals outlined in an accepted Assessment of Fair Housing (AFH) consistent with 24 CFR § 5.154(d)(5). Use the chart provided below. (PHAs should add as many goals as necessary to overcome fair housing issues and contributing factors.) Until such time as the PHA is required to submit an AFH, the PHA is not obligated to complete this chart. The PHA will fulfill, nevertheless, the requirements at 24 CFR § 903.7(o) enacted prior to August 17, 2015. See Instructions for further detail on completing this item. NOT APPLICABLE				
	Fair Housing Goal:				
	Describe fair housing strategies and actions to achieve the goal				
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	Describe fair housing strategies and actions to achieve the goal				

B.1 Revision of PHA Plan Elements Change to Administrative Policy Chapter 4

In an attempt to address individuals seeking housing that have low level and non-violent convictions, the Waterbury Housing Authority will develop, on a case by case basis criteria for individuals to be accepted into housing subsidy programs. This will address applicants as well as family reunification.

B.1 <u>Deconcentration Policy</u>

DECONCENTRATION OF POVERTY AND INCOME-MIXING

WHA's admission policy is designed to provide for deconcentration of poverty and income-mixing.

Gross annual income is used for income limits at admission, income targeting, and for income-mixing purposes.

Skipping of a family on the waiting list specifically to reach another family with a lower or higher income is not to be considered an adverse action to the family. Such skipping will be uniformly applied until the target threshold is met and in order to comply with WHA's Broad Range of Income policy.

Deconcentration and Income-Mixing Goals

WHA's deconcentration and income-mixing goal, in conjunction with the requirement to target at least 40 percent of new admissions to public housing in each fiscal year to "extremely low-income families", will be to admit higher income families to lower income developments, and lower income families to higher income developments.

Project Designation Methodology

WHA will determine and compare tenant incomes at all general occupancy developments. Skipping of families for deconcentration purposes will be applied uniformly to all families.

B.1 Financial Resources

Waterbury Housing Authority (CT006) Statement of Financial Resources June 30, 2021

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Inco	me/R	ecei	pts

HUD operating grants	\$	32,581,527
Other revenues		3,191,412
Total Income		35,772,939
		_
Operating Expenses		
Administrative salaries	\$	1,408,113
Other administrative expenses		2,902,512
Tenant/Community services		503,558
Utilities		1,306,366
Maintenance salaries		918,405
Maintenance other expenses		846,146
Contracts and protective services		755,222
Insurance		991,780
Other general expenses		95,703
Bad debts		57,873
Payment in lieu of taxes		132,505
Housing assistance payments		19,980,409
Depreciation & Interest		1,391,518
Total operating expenses	\$	31,290,110
Net income (loss)	\$	4,482,830

Flat Rents for 2022

4 BR *15%

WHA FMR	0 Bedroom	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom	5 Bedroom
2022 FMR's	\$791	\$960	\$1,190	\$1,485	\$1,749	\$2,011
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	EMD 2024	000/ 0000	Hittitus Allausanaa	Now FD		
Austin Road	FMR 2021	80% 2020	Utility Allowance	New FR		
3 Bedroom	\$1,485	\$1,188	\$57	\$1,131		
Berkley Heights - TH	ψ1,400	ψ1,100	ΨΟΙ	Ψ1,101		
2 Bedroom	\$1,190	\$952	\$51	\$901		
3 Bedroom	\$1,485	\$1,188	\$57	\$1,131		
4 Bedroom	\$1,749	\$1,399	\$63	\$1,336		
5 Bedroom	\$2,011	\$1,609	\$68	\$1,541		
Berkley Heights - G						
1 Bedroom	\$960	\$768	\$44	\$724		
2 Bedroom	\$1,190	\$952	\$51	\$901		
3 Bedroom	\$1,485	\$1,188	\$57	\$1,131		
4 Bedroom	\$1,749	\$1,399	\$63	\$1,336		
5 Bedroom	\$2,011	\$1,609	\$68	\$1,541		
Bergin Apartments	4	***				
0 Bedroom	\$791	\$633	\$0	\$633		
1 Bedroom	\$960	\$768	\$0	\$768		
Oak Terrace	04.400	0050	6.45	0007		
2 Bedroom	\$1,190 \$1,495	\$952	\$45 \$40	\$907		
3 Bedroom 4 Bedroom	\$1,485 \$1,749	\$1,188	\$49 \$54	\$1,139 \$1,345		
Hamden 4 Bedroom	\$1,749	\$1,399	\$54	\$1,345		
3 Bedroom	\$1,485	\$1,188	\$63	\$1,125		
4 Bedroom	\$1,749	\$1,399	\$68	\$1,331		
Pearl Lake	ψ1,743	ψ1,599	\$00	ψ1,331		
3 Bedroom	\$1,485	\$1,188	\$58	\$1,130		
4 Bedroom	\$1,749	\$1,399	\$64	\$1,335		
5 Bedroom	\$2,011	\$1,609	\$68	\$1,541		
Scattered Sites				. ,		
3 Bedroom	\$1,485	\$1,188	\$57	\$1,131		
4 Bedroom	\$1,749	\$1,399	\$62	\$1,337		
Bishop Street						
3 Bedroom	\$1,485	\$1,188	\$57	\$1,131		
4 Bedroom	\$1,749	\$1,399	\$62	\$1,337		
Springbrook						
2 Bedroom	\$1,190	\$952	\$53	\$899		
3 Bedroom	\$1,485	\$1,188	\$58	\$1,130		
4 Bedroom	\$1,749	\$1,399	\$64	\$1,335		
5 Bedroom	\$2,011	\$1,609	\$68	\$1,541		
South End 3 Bedroom	¢4.405	¢4.400	¢ro.	¢4.400		
3 Bedroom 4 Bedroom	\$1,485 \$1,749	\$1,188 \$1,399	\$59 \$65	\$1,129 \$1,334		
Truman	φ1,749	φ1,599	φυσ	φ1,334		
2 Bedroom	\$1,190	\$952	\$53	\$899		
3 Bedroom	\$1,485	\$1,188	\$59	\$1,129		
4 Bedroom	\$1,749	\$1,399	\$64	\$1,335		
5 Bedroom	\$2,011	\$1,609	\$70	\$1,539		
Roosevelt Apartments	7=,7.1	7 .,	7.0	7.,0		
0 Bedroom	\$791	\$633	\$84	\$549		
1 Bedroom	\$960	\$768	\$90	\$678		
Kelley Apartments						
0 Bedroom	\$791	\$633	\$84	\$549		
1 Bedroom	\$960	\$768	\$90	\$678		

B.1 Safety and Crime Prevention

To address safety concerns the WHA partnered with the Waterbury Police Department (WPD) to engage extra duty officers at the two (2) developments identified as experiencing the most criminal activity. This added police presence has proven effective and resulted in multiple arrests as well as increased peace of mind for the tenants. In addition, the WHA recently completed a PHA-Wide security camera project which updated existing cameras and added additional cameras at all developments. The updated system expands coverage and ensures we have the most efficient, effective system in place for the safety of our residents and developments, in addition to making the system more user friendly for WHA staff. This system has been fully integrated into the WPD's Video Surveillance Center, further increasing our commitment to safety and crime prevention at our developments.

B.2 Demolition and/or Disposition

Demolition/Disposition Activity Description
1a. Development name and addresses including unit numbers: Berkley Heights, Harris
Circle Waterbury, CT 06704 unit numbers attached.
1b. Development (project) number: CT26P006-001
2. Activity type: Demolition \boxtimes
Disposition
3. Application status (select one)
Approved 🔀
Submitted, pending approval
Planned application
4. Date application approved, submitted, or planned for submission: (01/27/12)
5. Number of units affected and unit size: 46 and unit size attached.
6. Coverage of action (select one)
Part of the development
Total development
7. Timeline for activity:
a. Actual or projected start date of activity: 03/31/22
b. Projected end date of activity: 06/30/22

Demolition/Disposition Activity Description
1a. Development name and addresses including unit numbers: Truman, North Main St.
Waterbury, CT 06704 unit numbers attached.
1b. Development (project) number: CT26P006-007
2. Activity type: Demolition \overline{\text{\ti}\text{\texi\tint{\text{\text{\text{\text{\text{\text{\text{\text{\text{\te}\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\te}\tint{\text{\text{\text{\text{\text{\text{\text{\text{\text{\te}\tint{\text{\text{\text{\text{\text{\text{\text{\text{\text{\te}\text{\text{\text{\text{\text{\text{\text{\text{\text{\text{\te}\tint{\text{\text{\text{\text{\text{\text{\text{\text{\text{\te}\tint{\text{\text{\text{\text{\text{\text{\tin}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}}
Disposition 🖂
3. Application status (select one)
Approved 🖂
Submitted, pending approval
Planned application
4. Date application approved, submitted, or planned for submission: (12/16/12)
5. Number of units affected and unit size : 6 and unit size attached
6. Coverage of action (select one)
Part of the development
Total development
7. Timeline for activity:
a. Actual or projected start date of activity: 03/31/22
b. Projected end date of activity: 06/30/22

Listing of Buildings and Units Scheduled For Demolition

CT26P006-001 **Berkeley Heights Development Unit NOS.** BLDG. NO. **Unit NOs.** BLDG. NO. 73 374 1-A 3BR 1-A 3BR 1-B 4BR 1-B 4BR 1-C 3BR 1-C 3BR 1-D 1BR 1-D 1BR 1-E 2BR 1-E 2BR 1-F 1BR 1-F 1BR 1-G 3BR 1-G 3BR 1-H 3BR 1-H 3BR 1-I 2BR 1-I 2BR 2-A 3BR 2-A 3BR 2-B 2BR 2-B 2BR 2-C 4BR 2-C 4BR 2-D 4BR 2-D 4BR 2-E 2BR 2-E 2BR 2-F 3BR 2-F 3BR 2-G 2BR 2-G 2BR 2-H 2BR 2-H 2BR 2-I 3BR 2-I 3BR 2-J 2BR 2-J 2BR 2-K 3BR 2-K 3BR 2-L 2BR 2-L 2BR 2-M 3BR 2-M 3BR 2-N 2BR 2-N 2BR Total 23 23

Listing of Buildings and Units Scheduled For Demolition

CT26P006-007	Truman Development					
BLDG. NO.	<u>Unit NOS.</u>					
1813	A 3BR B 3BR C 4BR D 4BR E 3BR F 3BR					
Total	Total 6					
Demolition/Disposit	tion Activity Description					
	ading unit numbers: Truman, North Main St.					
Waterbury, CT 06704	ading diff frameous. Truman, North Main St.					
1b. Development (project) number: CT26I	2006-007 Unit Numbers Attached					
2. Activity type: Demolition						
Disposition 🖂						
3. Application status (select one)						
Approved						
Submitted, pending approval						
Planned application 🔀						
4. Date application approved, submitted, o						
5. Number of units affected and unit size:	73 and unit size attached					
6. Coverage of action (select one)						
Part of the development						
Total development						
7. Timeline for activity:	octivity: 02/21/22					
a. Actual or projected start date of a	<u> </u>					
b. Projected end date of activity: 06/30/22						

CT26P009-007 Truman Development

Unit	Address	# Bed	Counter
ct006007			
7111	1711 NORTH MAIN ST APT. A	3	1
7112	1711 NORTH MAIN ST APT. B	3	1
7113	1711 NORTH MAIN ST APT. C	4	1
7114	1711 NORTH MAIN ST APT. D	3	1
725A	1725 NORTH MAIN ST APT. A	3	1
725B	1725 NORTH MAIN ST APT. B	4	1
725C	1725 NORTH MAIN ST APT. C	4	1
725D	1725 NORTH MAIN ST APT. D	3	1
733A	1733 NORTH MAIN ST APT. A	3	1
733B	1733 NORTH MAIN ST APT. B	2	1
733C	1733 NORTH MAIN ST APT. C	5	1
745A	1745 NORTH MAIN ST APT. A	3	1
745B	1745 NORTH MAIN ST APT. B	2	1
745C	1745 NORTH MAIN ST APT. C	5	1
751A	1751 NORTH MAIN ST APT. A	3	1
751B	1751 NORTH MAIN ST APT. B	3	1
751C	1751 NORTH MAIN ST APT. C	4	1
751D	1751 NORTH MAIN ST APT. D	3	1
771A	1771 NORTH MAIN ST APT. A	3	1
771B	1771 NORTH MAIN ST APT. B	2	1
771C	1771 NORTH MAIN ST APT. C	5	1
777A	1777 NORTH MAIN ST APT. A	4	1
777B	1777 NORTH MAIN ST APT. B	3	1
785A	1785 NORTH MAIN ST APT. A	3	1
785B	1785 NORTH MAIN ST APT. B	2	1
785C	1785 NORTH MAIN ST APT. C	5	1
791A	1791 NORTH MAIN ST APT. A	3	1
791B	1791 NORTH MAIN ST APT. B	4	1
791C	1791 NORTH MAIN ST APT. C	4	1
791D	1791 NORTH MAIN ST APT. D	3	1
793A	1793 NORTH MAIN ST APT. A	3	1
793B	1793 NORTH MAIN ST APT. B	3	1
793C	1793 NORTH MAIN ST APT. C	4	1
793D	1793 NORTH MAIN ST APT. D	4	1
793E	1793 NORTH MAIN ST APT. E	3	1
793F	1793 NORTH MAIN ST APT. F	3	1
797A	1797 NORTH MAIN ST APT. A	4	1
797B	1797 NORTH MAIN ST APT. B	3	1
801A	1801 NORTH MAIN ST APT. A	3	1

801B	1801 NORTH MAIN ST APT. B	4 1	Ĺ
801C	1801 NORTH MAIN ST APT. C	4 1	L
801D	1801 NORTH MAIN ST APT. D	3 1	L
843A	1843 NORTH MAIN ST APT. A	3 1	L
843B	1843 NORTH MAIN ST APT. B	4 1	L
843C	1843 NORTH MAIN ST APT. C	4 1	L
843D	1843 NORTH MAIN ST APT. D	3 1	L
847A	1847 NORTH MAIN ST APT. A	3 1	L
847B	1847 NORTH MAIN ST APT. B	4 1	L
847C	1847 NORTH MAIN ST APT. C	4 1	L
847D	1847 NORTH MAIN ST APT. D	2 1	L
847E	1847 NORTH MAIN ST APT. E	5 1	L
853A	1853 NORTH MAIN ST APT. A	3 1	Ĺ
853B	1853 NORTH MAIN ST APT. B	2 1	Ĺ
853C	1853 NORTH MAIN ST APT. C	5 1	Ĺ
857A	1857 NORTH MAIN ST APT. A	3 1	Ĺ
857B	1857 NORTH MAIN ST APT. B	2 1	Ĺ
857C	1857 NORTH MAIN ST APT. C	5 1	Ĺ
873A	1873 NORTH MAIN ST APT. A	3 1	Ĺ
873B	1873 NORTH MAIN ST APT. B	4 1	Ĺ
873C	1873 NORTH MAIN ST APT. C	4 1	Ĺ
873D	1873 NORTH MAIN ST APT. D	3 1	Ĺ
885A	1885 NORTH MAIN ST APT. A	3 1	Ĺ
885B	1885 NORTH MAIN ST APT. B	4 1	Ĺ
885C	1885 NORTH MAIN ST APT. C	4 1	Ĺ
885D	1885 NORTH MAIN ST APT. D	3 1	Ĺ
893A	1893 NORTH MAIN ST APT. A	3 1	Ĺ
893B	1893 NORTH MAIN ST APT. B	2 1	Ĺ
893C	1893 NORTH NAIN ST APT. C	5 1	Ĺ
903A	1903 NORTH MAIN ST APT. A	3 1	Ĺ
903B	1903 NORTH MAIN ST APT. B	3 1	Ĺ
903C	1903 NORTH MAIN ST APT. C	4 1	L
903D	1903 NORTH MAIN ST APT. D	4 1	L
903E	1903 NORTH MAIN ST APT. E	3 1	L
903F	1903 NORTH MAIN ST APT. F	3 1	L
			_

Total ct006007

74 74

B.2 Development Plan and PBV Summary

Repositioning: In March of 2020, the WHA issued a Request for Proposal for a repositioning consultant. Following the rating & ranking process, the Board of Commissioners voted unanimously to enter into contact with LIHTC Development, LLC, a firm that has worked with Housing Authorities nationwide and who are currently assisting several Connecticut HA's with repositioning. LIHTC Development, LLC has begun performing a comprehensive review of the WHA's portfolio, capabilities, capital needs, financial position, goals, and all available options. Following this, the findings will be presented to the Board of Commissioners and staff, who will determine its best options with regards to the conversion of public housing sites utilizing available HUD repositioning tools such Rental Assistance Demonstration (RAD) program, Section 18, RAD/Section 18 Hybrid, Streamlined Voluntary Conversion, or other HUD-approved processes. It is our goal to optimize resources so that the WHA can better meet the affordable housing needs of the Waterbury community, expand its affordable housing footprint, and ensure the long-term sustainability of housing programs owned and operated by the WHA.

<u>Truman Apartments:</u> The WHA intends to explore its options regarding redevelopment with the assistance of our repositioning consultant, as referenced above. A recent Physical Needs Assessment of the campus indicated obsolescence in that the redevelopment costs for the 74 units not previously approved for demolition will far exceed HUD TDC costs, thus warranting a Section 18 application.

<u>West Grove Street:</u> The WHA intends to redevelop West Grove St. and adjacent sites it has ownership of. The parcels on West Grove, Johnson, and Sperry Streets comprise about 3 acres. In the upcoming fiscal year, the WHA will explore development options, exploring financial resources and means to bring this project to fruition. The WHA was awarded CDBG funds which were used to demolish three (3) properties on West Grove Street. It is the WHA's intention to apply for additional CDBG funding to assist in the demolition of the remaining seven (7) structures on West Grove Street, Johnson Street and Sperry Street.

<u>The Begg Building:</u> The WHA is continuing to analyze its options with regards to the Begg Building. When the analysis is complete, the Board of Commissioners will determine the course of action.

<u>Project Based Vouchers:</u> The WHA has the authority to support 521 PBV's. 147 (28%) have been awarded, including 50 vouchers for the Begg Building. These vouchers have gone through the competitive process; the WHA advertised availability, submitted an application, and was favorably rated and ranked. HUD approved the award of the vouchers for the Begg Building and in August of 2017 the Board of Commissioners passed a resolution for the use of the 50 PBV's. The WHA is currently considering issuing a Request for Proposal to local landlords, developers, and agencies for up to 30 Project Based Vouchers. This would bring the number of awarded PBVs to 177, leaving the WHA with 344 available for future development needs.

B.3 Progress Report

Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-year and Annual Plan

HUD Strategic Goal: Goal #1

Expand the supply of Assisted Housing: The Waterbury Housing Authority applied for rental vouchers based on a NOFA published on February 22, 2002 and received 128 vouchers. The Authority has since constructed fourteen (14) additional Public Housing units. The Authority will apply for additional Section 8 vouchers if they become available.

<u>Improve the quality of Public Housing:</u> The Waterbury Housing Authority has reorganized its maintenance staff to provide better accountability for maintenance at each development. The work order system has already been revised.

To address safety concerns the WHA partnered with the Waterbury Police Department to engage extra duty officers at the two (2) developments identified as experiencing the most criminal activity. This added police presence has proven effective and resulted in multiple arrests as well as increased peace of mind for the tenants. In addition, in April of 2021 the WHA will complete the PHA-Wide security camera project which is updating all existing cameras and adding coverage to developments that previously has no coverage. The updated system will expand coverage and ensure we have the most efficient, effective system in place for the safety of our residents and developments, in addition to making the system more user friendly for WHA staff.

<u>Renovate or Modernize Public Housing Units:</u> The Waterbury Housing Authority continues to upgrade and improve its Public Housing inventory through the Capital Fund Program.

Improve Voucher Management: The Waterbury Housing Authority continues to prioritize Web Site efficiency to better serve our clients and has contracted with a web design firm to reconfigure the website and establish a larger, more functional web presence. This new page will include tenant and landlord portals, allowing landlords to add units as they become available and for residents to search for available units. In addition, improved functionality will allow tenants and landlords to complete tasks that normally would require a phone call or visit thus saving time and creating a more efficient process.

HUD Strategic Goal: Goal #2

<u>Promote Self-Sufficiency and Asset Development of Assisted Households if funding is available:</u> The Waterbury Housing Authority will continue to research funding to support a FSS Coordinator to work with our Section 8 clients and see that they receive support service they need.

HUD Strategic Goal: Goal #3

<u>Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, family status, and disability</u>: The Housing Authority is committed to a regional advertising approach for Section 8 Landlords and Tenants.

Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, family status, and disability: Upgrade facilities for persons with disabilities and comply with PHAS exigent and fire safety requirements. The WHA recently completed the fourth and final phase of the 504 Voluntary Compliance Agreement with the HUD Office of Fair Housing; as a result, 5% of WHA units are now fully compliant accessible units.

Additionally, the WHA will continue to partner with the City of Waterbury to compile and complete the Affirmatively Furthering Fair Housing Plan.

Other PHA Goals and Objectives

Goal #1:

To continue to manage the Housing Authority of the City of Waterbury programs in an efficient and effective manner and retain the status of a high performer under PHAS and SEMAP. The WHA has achieved this status in SEMAP for FY2015-2021 and in PHAS for FY2017-2021.

The Housing Authority of the City of Waterbury shall continue to excel in providing staff training. WHA's goal is to produce a motivated work force with a capable and efficient team of employees who present a customer friendly and fiscally prudent leader in the public housing industry.

The WHA has expanded its training schedule to include required quarterly in service staff trainings.

The Housing Authority of the City of Waterbury will continue to work with other community social service providers to ensure that adequate social services are provided to all residents of the Housing Authority of the City of Waterbury.

Goal #2:

Expand the range and quality of housing choices available to participants in the Housing Authority of the City of Waterbury Programs including Section 8 Housing Choice Vouchers.

The Housing Authority of the City of Waterbury has reached a utilization rate of 98% of its Budget Authority.

The Housing Authority of the City of Waterbury will continue to attract new landlords who want to participate in the voucher program.

Goal #3:

The Housing Authority of the City of Waterbury will strive to maintain an occupancy rate of 99% in its Public Housing Program

Instructions for Preparation of Form HUD-50075-HP Annual Plan for High Performing PHAs

- PHA Information. All PHAs must complete this section. (24 CFR §903.4)
 - A.1 Include the full PHA Name, PHA Code, PHA Type, PHA Fiscal Year Beginning (MM/YYYY), PHA Inventory, Number of Public Housing Units and or Housing Choice Vouchers (HCVs), PHA Plan Submission Type, and the Availability of Information, specific location(s) of all information relevant to the public hearing and proposed PHA Plan. (24 CFR §903.23(4)(e))

PHA Consortia: Check box if submitting a Joint PHA Plan and complete the table. (24 CFR §943.128(a))

B.	Plan	Elements	

R 1	Revision	of Existing	PHA Plai	n Elements	PHAs must

n Elements.			
	Revision of Existing PHA Plan Elements. PHAs must:		
	Identify specifically which plan elements listed below that have been revised by the PHA. To specify which elements have been revised, mark the "yes" box. If an element has not been revised, mark "no."		
	□ Statement of Housing Needs and Strategy for Addressing Housing Needs. Provide a statement addressing the housing needs of low-income, very low-income and extremely low-income families and a brief description of the PHA's strategy for addressing the housing needs of families who reside in the jurisdiction served by the PHA and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The statement must identify the housing needs of (i) families with incomes below 30 percent of area median income (extremely low-income); (ii) elderly families (iii) households with individuals with disabilities, and households of various races and ethnic groups residing in the jurisdiction or on the public housing and Section 8 tenant-based assistance waiting lists based on information provided by the applicable Consolidated Plan, information provided by HUD, and generally available data. The statement of housing needs shall be based on information provided by the applicable Consolidated Plan, information provided by HUD, and generally available data. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. Once the PHA has submitted an Assessment of Fair Housing (AFH), which includes an assessment of disproportionate housing needs in accordance with 24 CFR §5.154(d)(2)(iv), information on households with individuals with disabilities and households of various races and ethnic groups residing in the jurisdiction or on the waiting lists no longer needs to be included in the Statement of Housing Needs and Strategy for Addressing Housing Needs. (24 CFR §903.7(a).		
	The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. (24 CFR §903.7(a)(2)(i)) Provide a description of the ways in which the PHA intends, to the maximum extent practicable, to address those housing needs in the upcoming year and the PHA's reasons for choosing its strategy. (24 CFR §903.7(a)(2)(ii))		
	Deconcentration and Other Policies that Govern Eligibility, Selection and Admissions. Describe the PHA's admissions policy for deconcentration of poverty and income mixing of lower-income families in public housing. The Deconcentration Policy must describe the PHA's policy for bringing higher income tenants into lower income developments and lower income tenants into higher income developments. The deconcentration requirements apply to general occupancy and family public housing developments. Refer to 24 CFR §903.2(b)(2) for developments not subject to deconcentration of poverty and income mixing requirements. 24 CFR §903.7(b) Describe the PHA's procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists. 24 CFR §903.7(b) A statement of the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV. (24 CFR §903.7(b) Describe the unit assignment policies for public housing.		
	Financial Resources. A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA operating, capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources. (24 CFR §903.7(c)		
	☐ Rent Determination. A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units, including applicable public housing flat rents, minimum rents, voucher family rent contributions, and payment standard policies. (24 CFR §903.7(d)		
	☐ Homeownership Programs . A description of any homeownership programs (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent that the PHA participates in homeownership programs under section 8(y) of the 1937 Act. (24 CFR §903.7(k) and 24 CFR §903.12(b).		
	☐ Safety and Crime Prevention (VAWA). A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families. (24 CFR §903.7(m)(5))		
	Pet Policy. Describe the PHA's policies and requirements pertaining to the ownership of pets in public housing. (24 CFR §903.7(n))		
	☐ Substantial Deviation. PHA must provide its criteria for determining a "substantial deviation" to its 5-Year Plan. (24 CFR §903.7(r)(2)(i)		
	☐ Significant Amendment/Modification . PHA must provide its criteria for determining a "Significant Amendment or Modification" to its 5-Year and Annual Plan. For modifications resulting from the Rental Assistance Demonstration (RAD) program, refer to the 'Sample PHA Plan Amendment' found in Notice PIH-2012-32 REV-3, successor RAD Implementation Notices, or other RAD Notices.		

If any boxes are marked "yes", describe the revision(s) to those element(s) in the space provided.

PHAs must submit a Deconcentration Policy for Field Office review. For additional guidance on what a PHA must do to deconcentrate poverty in its development and comply with fair housing requirements, see 24 CFR 903.2. (24 CFR §903.23(b))

B.2	New Activities. If the PHA intends to undertake any new activities related to these elements or discretionary policies in the current Fiscal Year, mark "yes for those elements, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake these activities, mark "no."
	☐ HOPE VI. 1) A description of any housing (including project name, number (if known) and unit count) for which the PHA will apply for HOPE VI; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI is a separate process. See guidance of
	HUD's website at: https://www.hud.gov/program_offices/public_indian_housing/programs/ph/hope6. (Notice PIH 2011-47)
	Mixed Finance Modernization or Development. 1) A description of any housing (including name, project number (if known) and unit count) for which the PHA will apply for Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Mixed Finance Modernization or Development is a separate process. See guidance on HUD's website at: https://www.hud.gov/program_offices/public_indian_housing/programs/ph/hope6/mfph#4
	Demolition and/or Disposition. With respect to public housing only, describe any public housing development(s), or portion of a public housing development projects, owned by the PHA and subject to ACCs (including project number and unit numbers [or addresses]), and the number of affected un along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition approval under section of the 1937 Act (42 U.S.C. 1437p); and (2) A timetable for the demolition or disposition. This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed as described in the PHA's last Annual and/or 5-Year PHA Plan submission. The application and approval process for demolition and/or disposition is a separate process. Approval of the PHA Plan does not constitute approval of these activities. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm . (24 CFR §903.7(h))
	Conversion of Public Housing under the Voluntary or Mandatory Conversion programs. Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA is required to convert or plans to voluntarily convert to tenant-based assistance; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at:
	http://www.hud.gov/offices/pih/centers/sac/conversion.cfm. (24 CFR §903.7(j))
	☐ Conversion of Public Housing under the Rental Assistance Demonstration (RAD) program. Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA plans to voluntarily convert to Project-Based Assistance or Project-Based Vouchers under RAD. See additional guidance on HUD's website at: Notice PIH 2012-32 REV-3, successor RAD Implementation Notices, and other RAD notices.
	☐ Project-Based Vouchers. Describe any plans to use HCVs for new project-based vouchers. (24 CFR §983.57(b)(1)) If using project-based vouchers, provide the projected number of project-based units and general locations and describe how project-basing would be consistent with the PHA Plan.
	Units with Approved Vacancies for Modernization. The PHA must include a statement related to units with approved vacancies that are undergoing modernization in accordance with 24 CFR §990.145(a)(1).
	☐ Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).
В.3	Progress Report. For all Annual Plans following submission of the first Annual Plan, a PHA must include a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year PHA Plan. (24 CFR §903.7(r)(1))
B.4	Capital Improvements. PHAs that receive funding from the Capital Fund Program (CFP) must complete this section. (24 CFR §903.7 (g)). To comply with this requirement, the PHA must reference the most recent HUD approved Capital Fund 5 Year Action Plan in EPIC and the date that it was approved. PHAs can reference the form by including the following language in the Capital Improvement section of the appropriate Annual or Streamlined PHA Plan Template: "See Capital Fund 5 Year Action Plan in EPIC approved by HUD on XX/XX/XXXX."
B.5	Most Recent Fiscal Year Audit. If the results of the most recent fiscal year audit for the PHA included any findings, mark "yes" and describe those findings in the space provided. (24 CFR §903.7(p))
. Ot	her Document and/or Certification Requirements
C.	Resident Advisory Board (RAB) comments. If the RAB had comments on the annual plan, mark "yes," submit the comments as an attachment to the Plan

C.

- and describe the analysis of the comments and the PHA's decision made on these recommendations. (24 CFR §903.13(c), 24 CFR §903.19)
- C.2 Certification by State of Local Officials. Form HUD-50077-SL, Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan, must be submitted by the PHA as an electronic attachment to the PHA Plan. (24 CFR §903.15). Note: A PHA may request to change its fiscal year to better coordinate its planning with planning done under the Consolidated Plan process by State or local officials as applicable.
- C.3 Civil Rights Certification/ Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan. Provide a certification that the following plan elements have been revised, provided to the RAB for comment before implementation, approved by the PHA board, and made available for review and inspection by the public. This requirement is satisfied by completing and submitting form HUD-50077 ST-HCV-HP, PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed. Form HUD-50077-ST-HCV-HP, PHA Certifications of Compliance with PHA Plan, Civil Rights, and Related Laws and Regulations Including PHA Plan Elements that Have Changed must be submitted by the PHA as an electronic attachment to the PHA Plan. This includes all certifications relating to Civil Rights and related regulations. A PHA will be considered in compliance with the certification requirement to affirmatively further fair housing if the PHA fulfills the requirements of §§ 903.7(o)(1) and 903.15(d) and: (i) examines its programs or proposed programs; (ii) identifies any fair housing issues and contributing factors within those programs, in accordance with 24 CFR 5.154; or 24 CFR 5.160(a)(3) as applicable (iii) specifies actions and strategies designed to address contributing factors, related fair housing issues, and goals in the applicable Assessment of Fair Housing consistent with 24 CFR 5.154 in a reasonable manner in view of the resources available; (iv) works with jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement; (v) operates programs in a manner consistent with any applicable consolidated plan under 24 CFR part 91, and with any order or agreement, to comply with the authorities specified in paragraph (o)(1) of this section; (vi) complies with any contribution or consultation requirement with respect to any applicable AFH, in accordance with 24 CFR 5.150 through 5.180; (vii) maintains records reflecting these

analyses, actions, and the results of these actions; and (viii) takes steps acceptable to HUD to remedy known fair housing or civil rights violations. impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction. (24 CFR §903.7(o)).

C.4 Challenged Elements. If any element of the Annual PHA Plan or 5-Year PHA Plan is challenged, a PHA must include such information as an attachment to the Annual PHA Plan or 5-Year PHA Plan with a description of any challenges to Plan elements, the source of the challenge, and the PHA's response to the public.

D. Affirmatively Furthering Fair Housing.

D.1 Affirmatively Furthering Fair Housing.

The PHA will use the answer blocks in item D.1 to provide a statement of its strategies and actions to implement each fair housing goal outlined in its accepted Assessment of Fair Housing (AFH) consistent with 24 CFR § 5.154(d)(5) that states, in relevant part: "To implement goals and priorities in an AFH, strategies and actions shall be included in program participants' ... PHA Plans (including any plans incorporated therein) Strategies and actions must affirmatively further fair housing" Use the chart provided to specify each fair housing goal from the PHA's AFH for which the PHA is the responsible program participant – whether the AFH was prepared solely by the PHA, jointly with one or more other PHAs, or in collaboration with a state or local jurisdiction – and specify the fair housing strategies and actions to be implemented by the PHA during the period covered by this PHA Plan. If there are more than three fair housing goals, add answer blocks as necessary.

Until such time as the PHA is required to submit an AFH, the PHA will not have to complete section D., nevertheless, the PHA will address its obligation to affirmatively further fair housing by fulfilling the requirements at 24 CFR 903.7(o)(3) enacted prior to August 17, 2015, which means that it examines its own programs or proposed programs; identifies any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement; and maintain records reflecting these analyses and actions. Furthermore, under Section 5A(d)(15) of the U.S. Housing Act of 1937, as amended, a PHA must submit a civil rights certification with its Annual PHA Plan, which is described at 24 CFR 903.7(o)(1) except for qualified PHAs who submit the Form HUD-50077-CR as a standalone document.

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the 5-Year and Annual PHA Plan. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families.

Public reporting burden for this information collection is estimated to average 7.02 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.